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02-05-01

PTO/SB/05 REV 1 (12/97)

Approved for use through 09/30/2000. omb 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>	Attorney Docket No.	SJO919940137US5
	First Inventor or Application Identifier.	Hughbanks et al
	Title	TIC MR-HEAD MAGNETIC SHIELD DUMMY SHIELD SPARK GAP
	Express Mail Label No.	EL749517806US

APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning utility patent application contents.</small>	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, D.C. 20231
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1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification [Total Pages 38]
(preferred arrangement set forth below)
- Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
- Brief Description of the Drawings (if filed)

6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement Verifying identity

3. ☒ Drawing(s) (35 USC) 113 [Total Sheets 13]
4. ☒ Oath or Declaration [Total Pages 3]
- a. ☐ Newly executed (original or copy)
 - b. ☒ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
[Note Box 5 below]
- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☒ Incorporation by Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement
(when there is an assignee) ☐ Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☒ Information Disclosure Statement ☐ Copies of IDS Citations
(IDS) w/PTO-1449 & PTO-892
12. ☒ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
14. ☐ Small Entity ☐ Statement filed in prior application, Status still proper and desired
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☒ Other: Express Mail Certification

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 09 / 433,900
Prior application information. Examiner A. Cao Group/Art Unit 2652

18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label or ☒ Correspondence address below
(Insert Customer No. or Attach bar code label here)

NAME	Ervin F. Johnston				
ADDRESS	International Business Machines Corporation 5600 Cottle Road				
CITY	San Jose	STATE	California	ZIP CODE	95193
COUNTRY	USA	TELEPHONE	619-334-5883	FAX	619-448-1904

Name (Print/Type)	Ervin F. Johnston	Registration No. (Attorney/Agent)	20,190
Signature		Date	February 2, 2001

Please type a plus (+) sign inside this box -

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FEE TRANSMITTAL <i>Patent fees are subject to annual revision on October 1 These are the fees effective November 10, 1998. Small Entity payments <u>must</u> be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12 See 37 C.F.R. §§ 1.27 and 1.28</i>		<i>Complete If Known</i>																																																																																																																													
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ADDITIONAL FEES <table style="width:100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity Fee Code</th> <th>Small Entity Fee Code</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>205</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>227</td><td>Surcharge - late provisional filing or cover sheet</td><td></td></tr> <tr><td>139</td><td>139</td><td>Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>For filing a request for reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>Extension for response within first month</td><td></td></tr> <tr><td>116</td><td>390</td><td>Extension for response within second month</td><td></td></tr> <tr><td>117</td><td>890</td><td>Extension for response within third month</td><td></td></tr> <tr><td>118</td><td>1,390</td><td>Extension for response within fourth month</td><td></td></tr> <tr><td>128</td><td>1,890</td><td>Extension for response within fifth month</td><td></td></tr> <tr><td>119</td><td>310</td><td>Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>310</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>270</td><td>Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>Petition to revive unavoidably abandoned application</td><td></td></tr> <tr><td>141</td><td>1,240</td><td>Petition to revive unintentionally abandoned application</td><td></td></tr> <tr><td>142</td><td>1,240</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>143</td><td>440</td><td>Design issue fee</td><td></td></tr> <tr><td>144</td><td>600</td><td>Plant issue fee</td><td></td></tr> <tr><td>122</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>123</td><td>50</td><td>Petitions related to provisional applications</td><td></td></tr> <tr><td>126</td><td>180</td><td>Submission of Information Disclosure Stmt</td><td></td></tr> <tr><td>581</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>146</td><td>710</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td></td></tr> <tr><td>149</td><td>710</td><td>For each additional invention to be examined (37 CFR 1.129(b))</td><td></td></tr> <tr><td>179</td><td>710</td><td>Request for continued examination (RCE) (37 CFR 1.114)</td><td></td></tr> <tr><td colspan="2">Other fee (specify) _____</td><td></td><td></td></tr> <tr><td colspan="2">Other fee (specify) _____</td><td></td><td></td></tr> <tr><td colspan="2"></td><td style="text-align: right;">SUBTOTAL (3)</td><td>(\$)</td></tr> </tbody> </table>		Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid	105	205	Surcharge - late filing fee or oath		127	227	Surcharge - late provisional filing or cover sheet		139	139	Non-English specification		147	2,520	For filing a request for reexamination		112	920*	Requesting publication of SIR prior to Examiner action		113	1,840*	Requesting publication of SIR after Examiner action		115	110	Extension for response within first month		116	390	Extension for response within second month		117	890	Extension for response within third month		118	1,390	Extension for response within fourth month		128	1,890	Extension for response within fifth month		119	310	Notice of Appeal		120	310	Filing a brief in support of an appeal		121	270	Request for oral hearing		138	1,510	Petition to institute a public use proceeding		140	110	Petition to revive unavoidably abandoned application		141	1,240	Petition to revive unintentionally abandoned application		142	1,240	Utility issue fee (or reissue)		143	440	Design issue fee		144	600	Plant issue fee		122	130	Petitions to the Commissioner		123	50	Petitions related to provisional applications		126	180	Submission of Information Disclosure Stmt		581	40	Recording each patent assignment per property (times number of properties)		146	710	Filing a submission after final rejection (37 CFR 1.129(a))		149	710	For each additional invention to be examined (37 CFR 1.129(b))		179	710	Request for continued examination (RCE) (37 CFR 1.114)		Other fee (specify) _____				Other fee (specify) _____						SUBTOTAL (3)	(\$)
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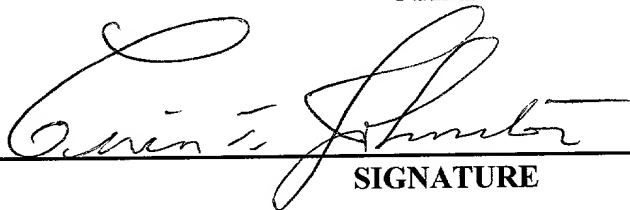
"EXPRESS MAIL" MAILING LABEL NO. EL749517806US

DATE OF DEPOSIT: February 2, 2001

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED
WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST
OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE
INDICATED ABOVE AND IS ADDRESSED TO THE ASSISTANT
COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231.

Ervin F. Johnston

NAME



SIGNATURE